

# Arriva Plc Bus Purchasing Qualification System – Questionnaires Detail

## rfi\_17 - Part I: Application Form

Owner	Division	Department
Tiago Ribeiro	Division	

### Project Information

Project Code	Project Title
tender_138	Arriva PLC Bus Purchasing – Qualification System

### Overview

RFI Code	RFI Title
rfi_17	Part I: Application Form

### Qualification Questionnaire

General Attachments
Allowed

Qualification Envelope
1.1 A: Economic Operator Application Schedule ( Questions: 9 )
1.2 B: Type of Application ( Questions: 3 )
1.3 C: Countries in Scope ( Questions: 1 )
1.4 D: Qualification System Documentation ( Questions: 3 )
1.5 General Attachments

### Qualification Questionnaire: 1.1 A: Economic Operator Application Schedule

	Description	Description	
1.1.1	Application Form Details	It is requested that Economic Operators/Applicants and their representatives are identified as well as the type and scope and application to the Arriva plc Qualification System. Arriva recommends that the Economic operator representative should be the same person that registers in the Arrivabuy site and the key point of contact.	
	Description	Description	Question Type
1.1.2	Contact Person Name	(name of economic operator representative)	Text
1.1.3	Place of Birth	(as stated)	Text
1.1.4	Date of Birth	(as stated)	Text
1.1.5	Address	(address of the economic operator representative)	Text
1.1.6	Position of/ Action in the Capacity of...	(Indicate type of representation. Attach power of attorney document in section 1.5."Additional Attachments" below when and where applicable)	Text
1.1.7	Economic Operator Legal Entity	(as stated)	Text
1.1.8	Head Office Address	(as stated)	Text

1.1.9	Register Number	(as stated)	Numeric
1.1.10	VAT Number	(as stated)	Numeric

#### Qualification Questionnaire: 1.2 B: Type of Application

	Description	Description	
1.2.1	Type of Application	Indicate and detail if the application is made as a single economical operator or Group of economic operators.  Note that in case of the application of a Group of economic operators, each party of that Group is required to register in the Arrivabuy procurement portal and submit its application.	
	Description	Description	Question Type
1.2.2	Indicate Type of Application	(Choose option)	Option List
1.2.3	For Groups of economic operators, please identify all entities that constitute this Group	* (mandatory only for Groups of economic operators)	Text
1.2.4	For Groups of economic operators, is this Group already created?	(mandatory only for Groups of economic operators)	Yes/No Value

#### Qualification Questionnaire: 1.3 C: Countries in Scope

	Description	Description	Question Type
1.3.1	Select Country(ies) in scope for your application	* When selecting multiple countries, note that Arriva requires economic operators to fill Part I to VI for each country being applied for.	Multi Choice Option List

#### Qualification Questionnaire: 1.4 D: Qualification System Documentation

	Description	Description	Question Type
1.4.1	Qualification System Regulation – Acknowledgment	* The economic operator acknowledges to have downloaded and read the Qualification System Regulation and declares to accept all terms and conditions, without terms or reservations, contained in that document. A copy of this Qualification Process document is to be printed and signed on each page by the legal representative of the economic operator concerned (or by the attorney/proxy with the necessary powers of representation provided that a copy conforming to the original of the power of attorney is attached) and presented together with a photocopy of the valid identity document of the Applicant. That document can be attached in section 1.5 "Additional Attachments Area"	Yes/No Value
1.4.2	Arriva Code of Conduct – Acknowledgment	The economic operator acknowledges to have downloaded and read the Arriva Code of Conduct and declares to accept all terms and conditions, without terms or reservations, contained in that document. A copy of the Arriva Code of Conduct document is to be printed and signed on each page by the legal representative of the economic operator concerned (or by the attorney/proxy with the necessary powers of representation provided that a copy conforming to the original of the power of attorney is attached) and presented together with a photocopy of the valid identity document of the Applicant. That document can be attached in section 1.5 "Additional Attachments Area"	Yes/No Value
1.4.3	Arriva Responsible Procurement – Acknowledgment	The economic operator acknowledges to have downloaded and read the Arriva Responsible Procurement Policy and declares to accept all terms and conditions, without terms or reservations, contained in that document. A copy of the Arriva Responsible Procurement document is to be printed and signed on each page by the legal representative of the economic operator concerned (or by the attorney/proxy with the necessary powers of representation provided that a copy conforming to the original of the power of attorney is attached) and presented together with a photocopy of the valid identity document of the Applicant. That document can be attached in section 1.5 "Additional Attachments Area"	Yes/No Value

## rfi\_11 - Part II: Information concerning the procurement procedure and the contracting authority or contracting entity

Owner	Division	Department
Tiago Ribeiro	Division	

### Project Information

Project Code	Project Title
tender_138	Arriva PLC Bus Purchasing – Qualification System

### Overview

RFI Code	RFI Title
rfi_11	Part II: Information concerning the procurement procedure and the contracting authority or contracting entity

### Qualification Questionnaire

Qualification Envelope	
1.1 A: Information about publication ( Questions: 0 )	
1.2 B: Identity of the procurer (Questions: 0)	
1.3 C: Arriva Bus Purchasing – Qualification System (Questions: 0)	
1.4 D: Economic operator Acknowledgement (Questions: 1)	

#### Qualification Questionnaire: 1.1 A: Information about publication

	Description	Description
1.1.1	Please read the following information:	For procurement procedures in which a call for competition has been published in the Official Journal of the European Union, the information required under Part I will be automatically retrieved, provided that the electronic ESPD-service is used to generate and fill in the ESPD.
1.1.2	Received notice number:	TBD
1.1.3	Notice number in the OJS	TBD
1.1.4	OJS URL:	TBD

#### Qualification Questionnaire: 1.2 B: Identity of the procurer

	Description	Description
1.2.1	Official name:	Arriva Plc
1.2.2	Country:	United Kingdom

### Qualification Questionnaire: 1.3 C: Arriva Bus Purchasing - Qualification System

	Description	Description
1.3.1	Qualification to the System – Details	<p>Arriva subscribing entities may on occasion use this qualification system to award contracts in relation to a project or programme financed by European Funds.</p> <p>Suppliers and contractors may apply for qualification at any time. Arriva requests that suppliers and contractors complete an electronic selection questionnaire regarding certain exclusion criteria when applying to join the Qualification System. Applicants must upload their responses to those documents via the Portal by [insert deadline].</p> <p>The Qualification System duration will be the following: Start: Publishing Date End: 30/06/2022</p>

### Qualification Questionnaire: 1.4 D: Economic operator Acknowledgement

	Description	Description	Question Type
1.4.1	The economic operator declares to have read and acknowledge the information in the notes present in this section i.e. Part II – Information concerning the procurement procedure and the contracting authority or contracting entity	* (as stated)	Yes/No Value

## rfi\_8 - Part III: Information Concerning the economic operator

Owner	Division	Department
Tiago Ribeiro	Division	

### Project Information

Project Code	Project Title
tender_138	Arriva PLC Bus Purchasing – Qualification System

### Overview

RFI Code	RFI Title
rfi_8	Part III: Information Concerning the economic operator

### Qualification Questionnaire

Qualification Envelope
1.1 A: Information about the economic operator ( Questions: 25 )
1.2 B: Information about representatives of the economic operator ( Questions: 12 )
1.3 C: Information about reliance on the capacities of other entities ( Questions: 1 )
1.4 D: Info concerning subcontractors on whose capacity the economic op.does not rely ( Questions: 2 )
1.5 General Attachments

### Qualification Questionnaire: 1.1 A: Information about the economic operator

	Description	Description	Question Type
1.1.1	Name	(name of economic operator)	Text
1.1.2	Street and Number	(address of the economic operator)	Text
1.1.3	City	(city where the operator's head office is based)	Text
1.1.4	Country	(country where the operator is based)	Text
1.1.5	Internet address (web address)	(internet/web address of the economic operator)	Text
1.1.6	E-Mail	(e-mail address of the economic operator)	Text
1.1.7	Telephone	(phone number of the economic operator)	Numeric
1.1.8	Contact Person(s)	(insert contact person(s) of the economic operator)	Text
1.1.9	VAT Number	(if applicable)	Text
1.1.10	Indicate another national identification number, if required and applicable	(applicable only if no VAT number exists – see 1.1.9)	Text

1.1.11	Is the economic operator a micro, small or medium size enterprise?	(as stated – reply yes or no)	Yes/No Value
1.1.12	Is the economic operator a sheltered workshop, a "social business" or will it provide for the performance of contract in the context of sheltered employment programmes?	(only in case procurement is reserved)	Yes/No Value
1.1.13	What is the corresponding percentage of disabled or disadvantaged workers?	(insert in %)	Numeric
1.1.14	Please specify which category or categories of disabled or disadvantaged workers?	(if applicable)	Text
1.1.15	If applicable, is the economic operator registered on an official list of approved economic operators or does it have an equivalent certificate (e.g. under a national (pre)qualification system?	(Please answer the remaining parts of this section, Section B and, where relevant, C of this part and, in any case, complete Part VI)	Yes/No Value
1.1.16	a) Provide the name of the list or certificate and the relevant registration or certification number	(mandatory if 1.1.15 is applicable: you may attach any certifications in 1.5 – Additional Attachments Area below)	Text
1.1.17	b) If the certificate of registration or certification is available electronically, please state	(mandatory if 1.1.15 is applicable: insert description)	Text
1.1.18	c) Please state the references on which the registration or certification is based, and, where applicable, the classification obtained in the official list	(mandatory if 1.1.15 is applicable)	Text
1.1.19	d) Does the registration or certification cover all of the required selection criteria?	(mandatory if 1.1.15 is applicable: In addition, please complete the missing information in Part V, Sections A, B, C, D as the case may be ONLY if this is required in the relevant notice or procurement documents)	Yes/No Value
1.1.20	e) Will the economic operator be able to provide a certificate with regard to the payment of s. security contrib. and taxes or provide info enabling the contracting authority to obtain it by accessing a national database in any Member State free of charge	(as stated)	Yes/No Value
1.1.21	f) If the relevant documentation mentioned in 1.1.20 is available electronically, please indicate	(mandatory if 1.1.20 is applicable)	Text
1.1.22	Is the economic operator participating in the procurement procedure together with others?	(If applicable please ensure that the other economic operators in the Group concerned register and complete this same questionnaire)	Yes/No Value
1.1.23	a) Please indicate the role of economic operator in the group (leader, responsible for specific tasks...)	(mandatory of 1.1.22 is applicable)	Text
1.1.24	b) Please identify the other economic operators participating in the procurement procedure together	(mandatory of 1.1.22 is applicable)	Text
1.1.25	c) Where applicable, name the participating group	(mandatory of 1.1.22 is applicable)	Text

#### Qualification Questionnaire: 1.2 B: Information about representatives of the economic operator

	Description	Description	
1.2.1	(Read Note)	Where applicable, please indicate the name(s) and address(es) of the person(s) empowered to represent the economic operator for the purposes of this procurement procedure	
	Description	Description	Question Type
1.2.2	First Name	* (as stated)	Text
1.2.3	Last Name	* (as stated)	Text
1.2.4	Date of Birth	* (as stated)	Text
1.2.5	Place of Birth	* (as stated)	Text
1.2.6	Street and Address	* (address)	Text
1.2.7	Postcode	(address)	Text
1.2.8	City	(address)	Text
1.2.9	Country	(address)	Text
1.2.10	E-mail	(as stated)	Text
1.2.11	Telephone	(as stated)	Text
1.2.12	Position/Acting in the capacity of...:	(as stated)	Text
1.2.13	Please provide information on the representation (its forms, extent, purpose)	(if necessary please attach any information in section 1.5 Additional Attachments Area section below)	Text

### Qualification Questionnaire: 1.3 C:Information about reliance on the capacities of other entities

	Description	Description	Question Type
1.3.1	Does the economic operator rely on the capacities of other entities in order to meet the selection criteria set out under Part V?	Please provide a separate Questionnaire form setting out the info required under sections A and B of this Part and Part II for each of the entities concerned, duly filled and signed by the entities concerned. Please note that this should also include any technicians or technical bodies, not belonging directly to the economic operator's undertaking, especially those responsible for quality control and, in the case of public works contracts, the technicians or technical bodies upon whom the economic operator can call in order to carry out the work. Insofar as it is relevant for the specific capacity or capacities on which the economic operator relies, please include the information under Parts IV and V for each of the entities concerned.	Yes/No Value

### Qualification Questionnaire: 1.4 D: Info concerning subcontractors on whose capacity the economic op. does not rely

	Description	Description	Question Type
1.4.1	Does the economic operator intend to subcontract any share of the contract to third parties?	* (as stated)	Yes/No Value
1.4.2	If yes and in so far as known please list the proposed subcontractors	(mandatory if applicable: If the contracting authority or contracting entity explicitly requests this information in addition to the information under Part II, please provide the information required under Sections A and B of this Part and Part IV for each of the (categories of) subcontractors concerned	Text

## rfi\_9 - Part IV: Exclusion grounds

Owner	Division	Department
Tiago Ribeiro	Division	

### Project Information

Project Code	Project Title
tender_138	Arriva PLC Bus Purchasing – Qualification System

### Overview

RFI Code	RFI Title
rfi_9	Part IV: Exclusion grounds

### Qualification Questionnaire

Qualification Envelope
1.1 A: Grounds relating to criminal convictions ( Questions: 18 )
1.2 B: Grounds relating to the payment of taxes or social security contributions ( Questions: 24 )
1.3 C:Grounds relating to insolvency, conflicts of interest or profess. misconduct ( Questions: 59 )
1.4 TO BE DELETED (Questions: 0 )
1.5 General Attachments

### Qualification Questionnaire: 1.1 A: Grounds relating to criminal convictions

	Description	Description	Question Type
1.1.1	(Read Note)	Article 57(1) of Directive 2014/24/EU sets out the following reasons for exclusion	
	Description	Description	Question Type
1.1.2	Participation in a criminal organisation?	Has the economic operator itself or any person who is a member of its administrative, management or supervisory board or has the powers of representation, decision or control therein been the subject of a conviction by final judgement for participation in a criminal organisation, by a conviction rendered at the most five years ago or in which an exclusion period set out directly in the conviction continues to be applicable? (As defined in Article 2 of Council Framework Decision 2008/841/JHA of 24 October on the fight against organised crime (OJ L300 11.11.2008, p.42)	Yes/No Value

1.1.3	Is the information above in point 1.1.2 available at no cost to the authorities from an EU Member state Database?	(mandatory if replied Yes to 1.1.2. regarding Participation in a criminal organisation)	Yes/No Value
1.1.4	Insert URL/Reference/Code and Issuer	(mandatory if replied Yes to 1.1.2. and 1.1.3. regarding Participation in a criminal organisation)	Text
1.1.5	Corruption?	Has the economic operator itself or any person who is a member of its administrative, management or supervisory body or has powers of representation, decision or control therein been the subject of a conviction by final judgement for corruption, by a conviction rendered at the most five years ago or in which an exclusion period in the conviction continues to be applicable? As defined in Article 3 of the Convention on the fight against corruption involving officials of the European Communities or official of Member States of the European Union, OJ C 195,25.6.1997, p.1, and Article 2(1) of Council Framework Decision 2003/568/JHA of 22 July 2003 on combating corruption in the private Sector (OJ L 192, 31.7.2003, p.54). This exclusion ground also includes corruption as defined in the national law of the contracting authority (contracting entity) or the economic operator.	Yes/No Value
1.1.6	Is the information above in point 1.1.5 available at no cost to the authorities from an EU Member state Database?	(mandatory if replied Yes to 1.1.5. regarding Corruption)	Yes/No Value
1.1.7	Insert URL/Reference/Code and Issuer	(mandatory if replied Yes to 1.1.5. and 1.1.6. regarding Corruption)	Text
1.1.8	Fraud?	Has the economic operator itself or any person who is a member of its administrative, management or supervisory body or has powers of representation, decision or control therein been the subject of a conviction by final judgement for fraud, by a conviction rendered at the most five years ago or in which an exclusion period in the conviction continues to be applicable? Within the meaning of Article 1 of the Convention on the protection of the European Communities' financial interests (OJ C 316, 27.11.1995, p.48)	Yes/No Value
1.1.9	Is the information above in point 1.1.8 available at no cost to the authorities from an EU Member state Database?	(mandatory if replied Yes to 1.1.8 regarding Fraud)	Yes/No Value
1.1.10	Insert URL/Reference/Code and Issuer	(mandatory if replied Yes to 1.1.8 and 1.1.9. regarding Fraud)	Text
1.1.11	Terrorist offences or offences linked to terrorist activities?	Has the economic operator itself or any person who is a member of its administrative, management or supervisory body or has powers of representation, decision or control therein been the subject of a conviction by final judgement for terrorist offences or offences linked to terrorist activities, by a conviction rendered at the most five years ago or in which an exclusion period in the conviction continues to be applicable? As defined in Articles 1 and 3 of Council Framework Decision of 13 June 2002 on combating terrorism (OJ L 164, 22.6.2002, p.3). This exclusion ground also includes inciting or aiding or abetting or attempting to commit an offence, as referred in the Article 4 of that Framework Decision.	Yes/No Value
1.1.12	Is the information above in point 1.1.11 available at no cost to the authorities from an EU Member state Database?	(mandatory if replied Yes to 1.1.11 regarding Terrorist offences or offences linked to terrorist activities)	Yes/No Value
1.1.13	Insert URL/Reference/Code and Issuer)	(mandatory if replied Yes to 1.1.11 regarding Terrorist offences or offences linked to terrorist activities)	Text
1.1.14	Money laundering or terrorist financing?	Has the economic operator itself or any person who is a member of its administrative, management or supervisory body or has powers of representation, decision or control therein been the subject of a conviction by final judgement for money laundering or terrorist financing, by a conviction rendered at the most five years ago or in which an exclusion period in the conviction continues to be applicable? As defined in Article 1 of Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention of the use of the financial system for the purpose of money laundering and terrorist financing (OJ L 309, 25.11.2005, p.15)	Yes/No Value
1.1.15	Is the information above in point 1.1.14 available at no cost to the authorities from an EU Member state Database?	(mandatory if replied Yes to 1.1.14 regarding Money laundering and terrorist financing)	Yes/No Value
1.1.16	Insert URL/Reference/Code and Issuer)	(mandatory if replied Yes to 1.1.14 and 1.1.15 regarding Money laundering and terrorist financing)	Text



1.1.17	Child labour and other forms of trafficking in human beings?	Has the economic operator itself or any person who is a member of its administrative, management or supervisory body or has powers of representation, decision or control therein been the subject of a conviction by final judgement for child labour and other forms of trafficking in human beings, by a conviction rendered at the most five years ago or in which an exclusion period in the conviction continues to be applicable? As defined in Article 2 of Directive 2011/36/EU of the European Parliament and of the Council of 5 April 2011 on preventing and combating trafficking in human beings and protecting its victims, and replacing Council Framework Decision 2002/629/JHA (OJ L 101, 15.4.2011, p.1)	Yes/No Value
1.1.18	Is the information above in point 1.1.17 available at no cost to the authorities from an EU Member state Database?	(mandatory if replied Yes to 1.1.17 regarding Child labour and other forms of trafficking in human beings)	Yes/No Value
1.1.19	Insert URL/ Reference/Code and Issuer)	(mandatory if replied Yes to 1.1.17 and 1.1.18 regarding Child labour and other forms of trafficking in human beings)	Text

#### Qualification Questionnaire: 1.2 B: Grounds relating to the payment of taxes or social security contributions

	Description	Description	
1.2.1	(Read note)	Article 57(2) of Directive 2014/24/EU sets out the following reasons for exclusion	
	Description	Description	Question Type
1.2.2	Payment of Taxes?	Has the economic operator breached its obligations relating to the payment of taxes, both in country in which it is established and in Member State of the contracting authority or contracting entity if other than the country of establishment?	Yes/No Value
1.2.3	Country or Member State concerned	(breach of payment of taxes obligation – mandatory if replied Yes to 1.2.2) [indicate country(ies) below]	Multi Choice Option List
1.2.4	Amount Concerned	(insert amount concerned in Euros – mandatory if replied Yes to 1.2.2)	Numeric
1.2.5	Has this breach of obligations been established by means other than a judicial or administrative decisions?	(mandatory if replied Yes to 1.2.2)	Yes/No Value
1.2.6	If this breach of obligations been established by means other than a judicial or administrative decisions, was this decision final and binding?	(mandatory if replied Yes to 1.2.2)	Yes/No Value
1.2.7	Please indicate date of conviction or decision	(mandatory if replied Yes to 1.2.2 and 1.2.6)	Date
1.2.8	In case of conviction, insofar as established directly therein, the length of the period of exclusion	(mandatory if replied Yes to 1.2.2, 1.2.6 and 1.2.7)	Text
1.2.9	Please describe which means were used	(mandatory if replied Yes to 1.2.2 and 1.2.6 to 1.2.8)	Text
1.2.10	Has the economic operator fulfilled its obligations by paying or entering into a binding arrangement with a view to paying the taxes or social security contributions due, including, where applicable, any interest accrued or fines.	(mandatory if replied Yes to 1.2.2 and to 1.2.6 to 1.2.9)	Yes/No Value
1.2.11	Please describe them	(mandatory if replied Yes to 1.2.10)	Text
1.2.12	Is this information available at no cost to the authorities from an EU Member State database?	(mandatory if replied Yes to 1.2.10)	Yes/No Value
1.2.13	Insert URL/ Reference/Code and Issuer	(mandatory if replied Yes to 1.2.10)	Text
1.2.14	Payment of Social Security?	Has the economic operator breached its obligations relating to the payment of social security contributions, both in country in which it is established and in Member State of the contracting authority or contracting entity if other than the country of establishment?	Yes/No Value
1.2.15	Country or Member State concerned	(breach of payment of taxes obligation – mandatory if replied Yes to 1.2.14) [indicate country(ies) below]	Multi Choice Option List
1.2.16	Amount Concerned	(insert amount concerned in Euros – mandatory if replied Yes to 1.2.14)	Numeric
1.2.17	Has this breach of obligations been established by means other than a judicial or administrative decisions?	(mandatory if replied Yes to 1.2.14)	Yes/No Value
1.2.18	If this breach of obligations been established by means other than a judicial or administrative decisions, was this decision final and binding?	(mandatory if replied Yes to 1.2.14)	Yes/No Value



1.2.19	Please indicate date of conviction or decision	(mandatory if replied Yes to 1.2.14 and 1.2.18)	Date
1.2.20	In case of conviction, insofar as established directly therein, the length of the period of exclusion	(mandatory if replied Yes to 1.2.14, 1.2.18 and 1.2.19)	Text
1.2.21	Please describe which means were used	(mandatory if replied Yes to 1.2.14 and 1.2.18 to 1.2.20)	Text
1.2.22	Has the economic operator fulfilled its obligations by paying or entering into a binding arrangement with a view to paying the taxes or social security contributions due, including, where applicable, any interest accrued or fines.	(mandatory if replied Yes to 1.2.14 and 1.2.18 to 1.2.21)	Yes/No Value
1.2.23	Please describe them	(mandatory if replied Yes to 1.2.22)	Text
1.2.24	Is this information available at no cost to the authorities from an EU Member State database?	(mandatory if replied Yes to 1.2.22)	Yes/No Value
1.2.25	Insert URL/ Reference/Code and Issuer	(mandatory if replied Yes to 1.2.22)	Text

### Qualification Questionnaire: 1.3 C:Grounds relating to insolvency, conflicts of interest or profess. misconduct

	Description	Description	
1.3.1	(Read Note)	Article 57(4) of Directive 2012/24/EU sets out the following reasons for exclusion	
	Description	Description	Question Type
1.3.2	Breaching of obligations in the field of environmental law?	Has the economic operator, to its knowledge, breached its obligations in the field of environmental law? As referred to for the purposes of this procurement in national law, in the relevant notice or the procurement documents or in Article 18(2) of Directive 2014/24/EU	Yes/No Value
1.3.3	Please describe them	(mandatory if replied Yes to question 1.3.2)	Text
1.3.4	Have you taken measures to demonstrate your reliability ("Self-Cleaning")?	(mandatory if replied Yes to question 1.3.2)	Yes/No Value
1.3.5	Please describe them	(mandatory if replied Yes to question 1.3.4)	Text
1.3.6	Breaching of obligations in the field of social law?	Has the economic operator, to its knowledge, breached its obligations in the field of social law? As referred to for the purposes of this procurement in national law, in the relevant notice or the procurement documents or in Article 18(2) of Directive 2014/24/EU	Yes/No Value
1.3.7	Please describe them	(mandatory if replied Yes to question 1.3.6.)	Text
1.3.8	Have you taken measures to demonstrate your reliability ("Self-Cleaning")?	(mandatory if replied Yes to question 1.3.6.)	Yes/No Value
1.3.9	Please describe them	(mandatory if replied Yes to question 1.3.8)	Text
1.3.10	Breaching of obligations in the field of labour law?	Has the economic operator, to its knowledge, breached its obligations in the field of labour law? As referred to for the purposes of this procurement in national law, in the relevant notice or the procurement documents or in Article 18(2) of Directive 2014/24/EU	Yes/No Value
1.3.11	Please describe them	(mandatory if replied Yes to question 1.3.10.)	Text
1.3.12	Have you taken measures to demonstrate your reliability ("Self-Cleaning")?	(mandatory if replied Yes to question 1.3.10.)	Yes/No Value
1.3.13	Please describe them	(mandatory if replied Yes to question 1.3.12.)	Text
1.3.14	Bankruptcy?	Is the economic operator bankrupt? This information needs not be given exclusion of economic operators in this case has been made mandatory under the applicable national law without any possibility of derogation where the economic operator is nevertheless able to perform the contract.	Yes/No Value
1.3.15	Please describe them	(mandatory if replied Yes to question 1.3.14.)	Text
1.3.16	Indicate reasons for being able nevertheless to perform the contract	(mandatory if replied Yes to question 1.3.14.)	Text
1.3.17	Is this information available at no cost to the authorities from an EU Member State database	(mandatory if replied Yes to question 1.3.14.)	Yes/No Value
1.3.18	URL/Reference/Code and Issuer	(mandatory if replied Yes to question 1.3.17.)	Text
1.3.19	Insolvency?	Is the economic operator the subject of insolvency or winding-up? This information needs not be given exclusion of economic operators in this case has been made mandatory under the applicable national law without any possibility of derogation where the economic operator is nevertheless able to perform the contract.	Yes/No Value
1.3.20	Please describe them	(mandatory if replied Yes to question 1.3.19.)	Text
1.3.21	Indicate reasons for being able nevertheless to perform the contract	(mandatory if replied Yes to question 1.3.19.)	Text

1.3.22	Is this information available at no cost to the authorities from an EU Member State database	(mandatory if replied Yes to question 1.3.19.)	Yes/No Value
1.3.23	URL/Reference/Code and Issuer	(mandatory if replied Yes to question 1.3.22.)	Text
1.3.24	Arrangement with Creditors?	Is the economic operator in arrangement with creditors? This information needs not be given exclusion of economic operators in this case has been made mandatory under the applicable national law without any possibility of derogation where the economic operator is nevertheless able to perform the contract.	Yes/No Value
1.3.25	Please describe them	(mandatory if replied Yes to question 1.3.24.)	Text
1.3.26	Indicate reasons for being able nevertheless to perform the contract	(mandatory if replied Yes to question 1.3.24.)	Text
1.3.27	Is this information available at no cost to the authorities from an EU Member State database	(mandatory if replied Yes to question 1.3.24.)	Yes/No Value
1.3.28	URL/Reference/Code and Issuer	(mandatory if replied Yes to question 1.3.27.)	Text
1.3.29	Analogous situation like bankruptcy under national law?	Is the economic operator in arrangement in any analogous situation like bankruptcy arising from a similar procedure under national laws and regulations? This information needs not be given exclusion of economic operators in this case has been made mandatory under the applicable national law without any possibility of derogation where the economic operator is nevertheless able to perform the contract.	Yes/No Value
1.3.30	Please describe them	(mandatory if replied Yes to question 1.3.29.)	Text
1.3.31	Indicate reasons for being able nevertheless to perform the contract	(mandatory if replied Yes to question 1.3.29.)	Text
1.3.32	Is this information available at no cost to the authorities from an EU Member State database	(mandatory if replied Yes to question 1.3.29.)	Yes/No Value
1.3.33	URL/Reference/Code and Issuer	(mandatory if replied Yes to question 1.3.32.)	Text
1.3.34	Assets being administered by liquidator?	Are the assets of the economic operator being administered by a liquidator or by the court? This information needs not be given exclusion of economic operators in this case has been made mandatory under the applicable national law without any possibility of derogation where the economic operator is nevertheless able to perform the contract.	Yes/No Value
1.3.35	Please describe them	(mandatory if replied Yes to question 1.3.34.)	Text
1.3.36	Indicate reasons for being able nevertheless to perform the contract	(mandatory if replied Yes to question 1.3.34.)	Text
1.3.37	Is this information available at no cost to the authorities from an EU Member State database	(mandatory if replied Yes to question 1.3.34.)	Yes/No Value
1.3.38	URL/Reference/Code and Issuer	(mandatory if replied Yes to question 1.3.37.)	Text
1.3.39	Business activities are suspended?	Are the business activities of the economic operator suspended? This information needs not be given exclusion of economic operators in this case has been made mandatory under the applicable national law without any possibility of derogation where the economic operator is nevertheless able to perform the contract.	Yes/No Value
1.3.40	Please describe them	(mandatory if replied Yes to question 1.3.39.)	Text
1.3.41	Indicate reasons for being able nevertheless to perform the contract	(mandatory if replied Yes to question 1.3.39.)	Text
1.3.42	Is this information available at no cost to the authorities from an EU Member State database	(mandatory if replied Yes to question 1.3.39.)	Yes/No Value
1.3.43	URL/Reference/Code and Issuer	(mandatory if replied Yes to question 1.3.42.)	Text
1.3.44	Agreements with other economic operators aimed at distorting competition?	Has the economic operator entered into agreements with other economic operators aimed at distorting competition.	Yes/No Value
1.3.45	Please describe them	(mandatory if replied Yes to question 1.3.44.)	Text
1.3.46	Have you taken measures to demonstrate your reliability ("Self-Cleaning")?	(as stated)	Yes/No Value
1.3.47	Please describe them	(mandatory if replied Yes to question 1.3.47.)	Text
1.3.48	Guilty of grave professional misconduct?	Is the economic operator guilty of grave professional misconduct? Where applicable, see definitions in national law, the relevant notice or the procurement documents	Yes/No Value
1.3.49	Please describe them	(mandatory if replied Yes to question 1.3.48.)	Text
1.3.50	Have you taken measures to demonstrate your reliability ("Self-Cleaning")?	(as stated)	Yes/No Value
1.3.51	Please describe them	(mandatory if replied Yes to question 1.3.50.)	Text

1.3.52	Conflict of interest due to its participation in the procurement procedure?	Is the economic operator aware of any conflict of interest, as indicated in national law, the relevant notice or the procurement documents due to its participation in the procurement procedure	Yes/No Value
1.3.53	Please describe them	(mandatory if replied Yes to question 1.3.52.)	Text
1.3.54	Direct or indirect involvement in the preparation of this procurement procedure	Has the economic operator aware or an undertaking related to it advised the contracting authority or contracting entity or otherwise been involved in the preparation of the procurement procedure.	Yes/No Value
1.3.55	Please describe them	(mandatory if replied Yes to question 1.3.54.)	Text
1.3.56	Early termination, damages or other comparable sanctions	Has the economic operator experienced that a prior public contract, a prior contract with a contracting entity or a prior concession contract was terminated early, or that damages or other comparable sanctions were imposed in connection with that prior contract?	Yes/No Value
1.3.57	Please describe them	(mandatory if replied Yes to question 1.3.56.)	Text
1.3.58	Have you taken measures to demonstrate your reliability ("Self-Cleaning")?	(as stated)	Yes/No Value
1.3.59	Please describe them	(mandatory if replied Yes to question 1.3.58.)	Text
1.3.60	Guilty of misinterpretation, withheld information, unable to provide required documents and obtained confidential information of this procedure	Can the economic operator confirm that: a) it has been guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria, b) It has withheld such information; c) It has not been able, without delay, to submit the supporting documents required by a contracting authority or contracting entity, and d) it has undertaken to unduly influence the decision making process of the contracting authority or contracting entity, to obtain confidential information that may confer upon it undue advantages in the procurement procedure or to negligently provide misleading information that may have a material influence on decisions concerning exclusion, selection or award	Yes/No Value

## rfi\_10 - Part V: Selection Criteria

Owner	Division	Department
Tiago Ribeiro	Division	

### Project Information

Project Code	Project Title
tender_138	Arriva PLC Bus Purchasing – Qualification System

### Overview

RFI Code	RFI Title
rfi_10	Part V: Selection Criteria

### Qualification Questionnaire

Qualification Envelope
1.1 A: Suitability ( Questions: 6 )
1.2 B: Economic and Financial Standing ( Questions: 1 )
1.3 C: Technical and professional ability ( Questions: 3 )
1.4 D:Quality assurance schemes and environmental management standards ( Questions: 1 )
1.5 General Attachments

### Qualification Questionnaire: 1.1 A: Suitability

	Description	Description	Question Type
1.1.1	(Read Note)	Article 58(2) of Directive 2014/24/EU setting out reasons for exclusion	
1.1.2	Enrolment in a relevant professional register?	It is enrolled in relevant professional registers kept in the Member State of its establishment as described in Annex XI of Directive 2014/24/EU; economic operators from certain Member States may have to comply with other requirements set out in that Annex.	Yes/No Value

1.1.3	Is this information available at no cost to the authorities from an EU Member state Database?	(mandatory if replied Yes to 1.1.2)	Yes/No Value
1.1.4	Insert URL/ Reference/Code and Issuer)	(mandatory if replied Yes to 1.1.3.)	Text
1.1.5	Enrolment in a trade register?	It is enrolled in trade registers kept in the Member State of its establishment as described in Annex XI of Directive 2014/24/EU; economic operators from certain Member States may have to comply with other requirements set out in that Annex.	Yes/No Value
1.1.6	Is this information available at no cost to the authorities from an EU Member state Database?	(refers to 1.1.5.)	Yes/No Value
1.1.7	Insert URL/ Reference/Code and Issuer)	(refers to 1.1.6.)	Text

#### Qualification Questionnaire: 1.2 B: Economic and Financial Standing

	Description	Description	Question Type
1.2.1	In regards to Economic and Financial Standing, can the economical operator provide at least 2 bank statements from EU bank institutions confirming that its financial commitments are fulfilled regularly and timely?	*(Attach the referred bank statements in section 1.5 "Additional Attachments Area" below)	Yes/No Value

#### Qualification Questionnaire: 1.3 C: Technical and professional ability

	Description	Description	Question Type
1.3.1	Can the economical operator list the sales of public transport vehicles (above 10 passengers), for the last 3 financial exercises, as detailed in "Description"?	*These vehicles sales list must include all amounts, dates and customers related. At least for 2 of the most significant sales, it is requested that the economical operator presents a declaration from the relevant customers confirming the successful conclusion of the transaction. Attach referred documentation in section 1.1.5 – "Additional Attachments Area"	Text
1.3.2	Regarding Technical and Professional requirements, can the economic operator meet the requirements detailed in "Description"?	*The economic operator declares to have the equipments, infrastructure and production, distribution, commercial and post-sales technical assistance capability, including the supply of spare parts in the relevant country, to address the eventual award of contract and/or tender	Yes/No Value
1.3.3	Attach suport information to Question 1.3.2. above	The Economical Operator is required to attach adequate support documentation that demonstrates it has the equipment, infrastructure and production, distribution, commercial and post-sales technical assistance capability, including the supply of spare parts in the relevant country. (e.g. attach a List of the Service Network )	Attachment

#### Qualification Questionnaire: 1.4 D:Quality assurance schemes and environmental management standards

	Description	Description	Question Type
1.4.1	Does the economic operator possess a valid UNI EN ISO 9001 certificate for the research, development and production of public transport vehicles?	*(Attach UNI EN ISO 9001 certification in 1.1.5 . "Additional Attachments Area"	Yes/No Value

## rfi\_13 - Part VI: Concluding statements

Owner	Division	Department
Tiago Ribeiro	Division	

#### Project Information

Project Code	Project Title
tender_138	Arriva PLC Bus Purchasing – Qualification System

## Overview

RFI Code	RFI Title
rfi_13	Part VI: Concluding statements

## Qualification Questionnaire

General Attachments
Not Allowed

Qualification Envelope
1.1 Concluding Statements ( Questions: 1 )

### Qualification Questionnaire: 1.1 Concluding Statements

	Description	Description	
1.1.1	Read and Acknowledge the following note	<p>The economic operator formally declares that the information stated under Questionnaires – Parts I to V in this RFIs – is accurate and correct and that it has been set out in full awareness of the consequences of misrepresentation. The economical operator declares to be able, upon request and without delay, to provide the certificates and other forms of documentary evidence referred to, except where:</p> <p>a) the contracting authority or contracting entity has the possibility of obtaining the documentation concerned directly by accessing a national database in any Member State that is available free of charge (on condition that the economic operator has provided the necessary information (web address, issuing authority or body, precise reference of the documentation) allowing the contracting authority or contracting entity to do so. Where required this must be accompanied by the relevant consent to such access; or</p> <p>b) As of 18 October 2018 at the latest (depending on the national implementation of the second subparagraph of Article 59(5) of Directive 2014/24/EU), the contracting authority or contracting entity already possesses the documentation concerned.</p> <p>The economical operator formally consents the contracting authority or contracting entity as set out in Part II, gaining access to documents supporting the information which has been provided in Parts IV and V for the purposes if the Qualification System detailed in Part II.</p>	
	Description	Description	Question Type
1.1.2	Acknowledge the Concluding Statements Note above.	(as stated)	Yes/No Value